



[KYC/CDD Boot Camp - Zurich](#)

Featuring Crypto Currencies, FinTechs and High-Risk Clients

Sheraton Zurich Hotel | 12 March, 2020

TIME	ACTIVITY
8:00 - 9:00	<i>Registration and Networking Refreshments</i>
9:00	Welcome Remarks
9:15	KYC/CDD in Higher-Risk Areas Including Private Banking and FinTechs as your Customer <ul style="list-style-type: none">• Attain a working knowledge on risk factors in Private Banking and FinTechs• Learn practical strategies to identify and verify customer information, including determining and corroborating 'source of wealth'• Enhance CDD research techniques into the source of wealth and funds of PEPs, their family members and close associates• Best practices in the onboarding of FinTech customers
10:30 - 10:50	<i>Networking and Refreshment Break</i>
10:50	CDD in Trade Finance and Mitigating Trade-Based Money Laundering Risks <ul style="list-style-type: none">• Explore the types of schemes used to launder the proceeds of crime through trade activities• Identify possible red flags associated with trade-based money laundering• Expand understanding of CDD tactics that can help to detect trade-based money laundering
11:50	CDD in Light of Sanctions Compliance - How to Identify and Assess Aggregate Ownership <ul style="list-style-type: none">• Establish a working understanding of ownership concepts under EU and OFAC sanctions restrictions• Learn about best practices to identify aggregate ownership of entities in less transparent jurisdictions• Through guided case studies, learn techniques to identify and assess aggregate ownership in complex corporate structures
12:50 - 13:50	<i>Networking Lunch</i>

13:50	Effective Use of RegTech and Online Sources for CDD Purposes <ul style="list-style-type: none"> • Pragmatically apply RegTech to strengthen and streamline CDD • How to efficiently navigate the online "ocean" of information • Strengthen understanding of how to access and analyse information available through social media and the dark web • Improve analysis of online information sources to effectively feed into customer risk assessments
15:00 - 15:20	<i>Comfort Break</i>
15:20	Overcoming Some Challenges of Conducting CDD - Third-Party Reliance, Virtual Assets and Foreign or Less Transparent Jurisdictions <ul style="list-style-type: none"> • Learn best practices for interrogating company registers and public databases • Understand the risks posed by crypto-currencies and decipher the guidance on virtual assets • Discover resources to obtain information about customers based in less transparent jurisdictions • Conditions and limitations in placing reliance on third parties to conduct CDD • Through guided case studies, learn techniques for undertaking CDD on jurisdictions with limited publicly available information
16:30 - 16:45	<i>Closing Remarks</i>